

**BILLING CODE 3510-22** 

DEPARTMENT OF COMMERCE

[Docket No. 150902800-5800-01]

RIN 0605-XC025

Privacy Act of 1974, New System of Records

**AGENCY:** U.S. Department of Commerce, National Oceanic and Atmospheric Administration.

**ACTION:** Notice of Privacy Act System of Records: COMMERCE/NOAA-11,

Contact Information for Members of the Public Requesting or Providing Information Related to NOAA's Mission.

SUMMARY: This notice announces the Department of Commerce's (Department's) proposal for a new system of records under the Privacy Act. The National Oceanic and Atmospheric Administration (NOAA) is creating a new system of records for contact information for members of the public requesting information and non-Federal providers' of information (e.g. academic researchers'). Information will be collected from individuals under the authority of 5 U.S.C. § 301, Departmental Regulations, and 15 U.S.C. § 1512, Powers and duties of Department [of Commerce]. This record system is necessary to facilitate provision of information to the requesting public and to post researchers' contact information on applicable websites.

**DATES:** To be considered, written comments must be submitted on or before [INSERT DATE 30 DAYS FROM PUBLICATION IN THE FEDERAL REGISTER]. Unless comments are

received, the new system of records will become effective as proposed on the date of publication of a subsequent notice in the <u>Federal Register</u>.

ADDRESSES: Comments may be mailed to Sarah Brabson, NOAA Office of the Chief Information Officer, Room 9856, 1315 East-West Highway, SSMC3, Silver Spring, MD 20910.

FOR FURTHER INFORMATION CONTACT: Sarah Brabson, NOAA Office of the Chief Information Officer, Room 9856,1315 East-West Highway, Silver Spring, MD 20910.

SUPPLEMENTARY INFORMATION: NOAA is creating this system of records notice to replace the former COMMERCE/NOAA-11, NOAA Mailing Lists, which is no longer in existence. This system of records notice will encompass all NOAA systems which collect, store and/or disseminate contact information for members of the public requesting or providing information related to NOAA's mission. Information collections would be requested from individuals under the authority of 5 U.S.C. § 301, Departmental Regulations and 15 U.S.C. § 1512, Powers and duties of Department. The collection of information is necessary to facilitate communication with, and share mission-related information with, the public. NOAA would collect information from individuals in order to provide and acquire NOAA mission-related data. The resulting system of records, as amended, appears below.

#### **COMMERCE/NOAA-11**

**SYSTEM NAME:** COMMERCE/NOAA-11, Contact Information for Members of the Public Requesting or Providing Information Related to NOAA's Mission.

SECURITY CLASSIFICATION: None.

#### **SYSTEM LOCATION:**

National Environmental Satellite, Data, and Information Service (NESDIS):

NOAA5009, National Climatic Data Center Local Area Network: Federal Building, Room 311, 151 Patton Avenue, Asheville NC 28801.

NOAA5010, National Oceanographic Data Center: 1315 East West Highway, Silver Spring MD 20910.

NOAA5036, National Coastal Data Development Center Local Area Network: 1021 Balch Blvd, Stennis Space Center, MS 39529.

NOAA5036 Mirror Site: 25 Broadway, E/GC4, Boulder CO 80305.

NOAA5040, Comprehensive Large Array-data Stewardship System: 2110 Pleasant Valley Road, Fairmont, WV 26554.

NOAA5045, NOAA Environmental Satellite Processing Center: 4231 Suitland Rd., Suitland, MD 20746.

#### **National Marine Fisheries Service (NMFS):**

NOAA4010, NMFS Headquarters Local Area Network: 1315 East West Highway, Silver Spring, MD 20910.

NOAA4960, Honolulu, HI Pacific Islands Fisheries Science Center Local Area Network: 2570 Dole Street, Honolulu, HI 96822.

#### **National Ocean Service (NOS):**

NOAA6001, NOS Enterprise Information System: 1305 East West Highway, Floor 13, Silver Spring MD 20910.

NOAA6101, Coastal Services Center (CSC) Information Technology Support System: 2234 S. Hobson Ave, Charleston, SC 29405.

NOAA6301, National Centers for Coastal Ocean Science (NCCOS) Research Support System: 1305 East West Highway, 13<sup>th</sup> Floor, Silver Spring, MD 20910.

NOAA6501, Office of Coast Survey (OCS) Nautical Charting System: 1315 East West Highway, Floors 5, 6 & 7, Silver Spring, MD 20910.

#### **National Weather Service (NWS):**

NOAA8860, National Centers for Environmental Prediction: 5830 University Research Court, College Park MD 20740.

NOAA8874, National Operations Hydrologic Remote Sensing Center, 1735 Lake Dr. West, Chanhassen, MN 55317.

NOAA884, Southern Region Headquarters, 819 Taylor St. RM 10A05C, Fort Worth, TX 76102.

NOAA8885, Western Region Headquarters, 125 South State St., Salt Lake City, UT 84103.

#### CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Members of the public requesting information. Members of the public (non-NOAA researchers), who provide information to NOAA for dissemination to the public.

#### CATAGORIES OF RECORDS IN THE SYSTEM:

This information is collected and/or maintained by all systems covered by this system of records: Name, address, e-mail address, telephone number (business or private, by individuals' choice), organization name, address and position if applicable.

#### **AUTHORITY FOR MAINTENANCE OF THE SYSTEM:**

5 U.S.C. § 301, Departmental Regulations and 15 U.S.C. § 1512, Powers and duties of Department.

#### **PURPOSES:**

This information will allow NOAA to contact customers who have requested data, will participate or have participated in NOAA conferences, meetings and trainings, as well as those

researchers providing data and making presentations. Maintenance of this contact information allows further communication and information sharing, as well as a mechanism for customer surveys with the goal of improving services.

# ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND PURPOSES OF SUCH USES:

- 1. In the event that a system of records maintained by the Department to carry out its functions indicates a violation or potential violation of law or contract, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute or contract, rule, regulation, or order issued pursuant thereto, or the necessity to protect an interest of the Department, the relevant records in the system of records may be referred to the appropriate agency, whether Federal, State, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or contract, rule, regulation, or order issued pursuant thereto, or protecting the interest of the Department.
- 2. A record from this system of records may be disclosed, as a routine use, to a Federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to a Department decision concerning the assignment, hiring or retention of an individual, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.
- 3. A record from this system of records may be disclosed, as a routine use, to a Federal, state, local, or international agency, in response to its request, in connection with the assignment, hiring or retention of an individual, the issuance of a security clearance, the reporting of an

investigation of an individual, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

- 4. A record from this system of records may be disclosed in the course of presenting evidence to a court, magistrate, hearing officer or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations, administrative appeals and hearings.
- 5. A record in this system of records may be disclosed to a Member of Congress submitting a request involving an individual when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 6. A record in this system of records which contains medical information may be disclosed, as a routine use, to the medical advisor of any individual submitting a request for access to the record under the Act and 15 CFR Part 4b if, in the sole judgment of the Department, disclosure could have an adverse effect upon the individual, under the provision of 5 U.S.C. § 552a(f)(3) and implementing regulations at 15 CFR Part 4b.26.
- 7. A record in this system of records may be disclosed, as a routine use, to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that Circular.
- 8. A record in this system of records may be disclosed to the Department of Justice in connection with determining whether the Freedom of Information Act (5 U.S.C. § 552) requires disclosure thereof.

- 9. A record in this system of records may be disclosed to a contractor of the Department having need for the information in the performance of the contract but not operating a system of records within the meaning of 5 U.S.C. § 552a(m).
- 10. A record in this system may be transferred, as a routine use, to the Office of Personnel Management: for personnel research purposes; as a data source for management information; for the production of summary descriptive statistics and analytical studies in support of the function for which the records are collected and maintained; or for related manpower studies.

  11. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services Administration (GSA), or his designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. § 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e. GSA or Commerce) directive. Such disclosure shall
- 12. A record in this system of records may be disclosed to appropriate agencies, entities and persons when: (1) it is suspected or determined that the security or confidentiality of information in the system of records has been compromised; (2) the Department has determined that as a result of the suspected or confirmed compromise there is a risk of harm to economic or property interests, identity theft or fraud, or harm to the security or integrity of this system or whether systems or programs (whether maintained by the Department or another agency or entity) that rely upon the compromised information; and (3) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the Department's efforts to

not be used to make determinations about individuals.

respond to the suspected or confirmed compromise and to prevent, minimize, or remedy such harm.

#### DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosure to consumer reporting agencies pursuant to 5 U.S.C. § 552a(b)(12) may be made from this system to "consumer reporting agencies" as defined in the Fair Credit Reporting Act (15 U.S.C. § 1681a(f)) and the Federal Claims Collection Act of 1966 (31 U.S.C. § 3701(a)(3)).

## POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING,

#### RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

#### **STORAGE:**

Computerized database (in some instances, also CDs; back-up files stored on tape and/or paper records stored in file folders in locked metal cabinets and/or locked rooms).

#### **RETRIEVABILITY:**

Records are organized and retrieved by category of entity.

#### **SAFEGUARDS:**

The system of records is stored in a building with doors that are locked during and after business hours. Visitors to the facility must register with security guards and must be accompanied by Federal personnel at all times. Paper records are stored in a locked room and/or a locked file cabinet. Electronic records containing Privacy Act information are protected by a user identification/password. The user identification/password is issued to individuals as authorized by authorized personnel.

All electronic information disseminated by NOAA adheres to the standards set out in Appendix III, Security of Automated Information Resources, OMB Circular A-130; the Computer Security Act (15 U.S.C. § 278g-3 and 278g-4); and the Government Information Security Reform Act,

Public Law 106-398; and follows NIST SP 800-18, Guide for Developing Security Plans for Federal Information Systems; NIST SP 800-26, Security Self-Assessment Guide for Information Technology Systems; and NIST SP 800-53, Recommended Security Controls for Federal Information Systems. NIST 800-122 recommended security controls for protecting Personally Identifiable Information are in place. The Federal Information Processing Standard (FIPS) 199, Standards for Security Categorization of Federal Information and Information Systems, security impact category for these systems is moderate or higher, except for two systems: NOAA4960 and NOAA6101. Contractors that have access to the system are subject to information security provisions in their contracts required by Department policy.

#### **RETENTION AND DISPOSAL:**

All records are retained and disposed of in accordance with National Archive and Records Administration regulations (36 CFR Chapter XII, Subchapter B – Records Management); Departmental directives and comprehensive records schedules; NOAA Administrative Order 205-01; and the NMFS Records Disposition Schedule, Chapters 1200, 1300, 1400, 1500 and 1600.

#### **SYSTEM MANGER(S) AND ADDRESS:**

#### **NESDIS:**

NOAA5009, John Jensen, Federal Building, Room 311, 151 Patton Avenue, Asheville NC 28801.

NOAA5010, Parmesh Dwivedi, 1315 East West Highway, Silver Spring MD 20910.

NOAA5036: Juanita Sandidge, 1021 Balch Blvd, Stennis Space Center, MS 39529.

Mirror Site: 25 Broadway, E/GC4, Boulder CO 80305.

NOAA5040: Kern Witcher, 2110 Pleasant Valley Road, Fairmont, WV 26554.

NOAA5045: Linda Stathoplos, 4231 Suitland Rd., Suitland, MD 20746.

#### **NMFS:**

NOAA4010: Kevin Schulke, 1315 East West Highway, Silver Spring, MD 20910.

NOAA4960: Donald Tieman, 2570 Dole Street, Honolulu, HI 96822.

#### NOS:

NOAA6001: Tim Morris, 1305 East West Highway, Floor 13, Silver Spring MD 20910.

NOAA6101: Paul Scholz, 2234 S. Hobson Ave, Charleston, SC 29405.

NOAA6301: Linda Matthews, 1305 East West Highway, 13th Floor, Silver Spring, MD 20910.

NOAA6501: Kathryn Ries, 1315 East West Highway, Floors 5, 6 & 7, Silver Spring, MD 20910.

#### **NWS:**

NOAA8860: David Glotfelty, 5830 University Research Court, College Park MD 20740.

NOAA8874: Andy Rost, National Operations Hydrologic Remote Sensing Center, 1735 Lake Dr. West, Chanhassen, MN 55317.

NOAA8884: John Duxby, Southern Region Headquarters, 819 Taylor St. RM 10A05C, Fort Worth, TX 76102.

NOAA885: Sean Wink, Western Region Headquarters, 125 South State St., Salt Lake City, UT 84103.

#### **NOTIFICATION PROCEDURE:**

Individuals seeking to determine whether information about them is contained in this system should address written inquiries to the National or Line Office Privacy Act Officers:

Privacy Act Officer, NOAA, 1305 East West Highway, Room 7437, Silver Spring MD 20910.

Privacy Act Officer, NESDIS, 1335 East West Highway, Room 8245, Silver Spring, MD 20910.

Privacy Act Officer, NMFS, 1315 East West Highway, Room 10843, Silver Spring, MD 20910.

Privacy Act Officer, NOS, 1305 East West Highway, Rm. 13236, Silver Spring, MD 20910.

Privacy Act Officer, NWS, 1325 East West Highway, Room 18426, Silver Spring, MD 20910.

Written requests must be signed by the requesting individual. Requestor must make the request

in writing and provide his/her name, address, and date of the request and record sought. All such

requests must comply with the inquiry provisions of the Department's Privacy Act rules which

appear at 15 CFR Part 4, Appendix A.

**RECORD ACCESS PROCEDURES:** 

Requests for access to records maintained in this system of records should be addressed to the

same address given in the Notification section above.

**CONTESTING RECORD PROCEDURES:** 

The Department's rules for access, for contesting contents, and appealing initial determinations

by the individual concerned are provided for in 15 CFR Part 4, Appendix A.

**RECORD SOURCE CATEGORIES:** 

Information in this system will be collected from individuals requesting or providing NOAA

mission-related information.

**EXEMPTIONS CLAIMED FOR THE SYSTEM:** None.

Dated: October 2, 2015.

Michael J. Toland,

Department of Commerce,

Acting Freedom of Information/Privacy Act

Officer.

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